

SECTION II

This section must be completed by applicants for REALTOR® Membership, whether primary or secondary, who are **principals, partners, corporate officers, or branch office managers** (i.e. individuals in positions of management control on behalf of individuals who are not physically present and engaged in the real estate profession). **All other applicants please proceed to Section III.**

1. ARE YOU CURRENTLY A MEMBER OF ANOTHER BOARD OR ASSOCIATION WHICH IS AFFILIATED WITH THE NATIONAL ASSOCIATION OF REALTORS® OR HAVE YOU HELD MEMBERSHIP IN ANOTHER BOARD OR ASSOCIATION WITHIN THE PAST THREE (3) YEARS? * Yes _____ No _____

*IF "YES," LIST EACH BOARD AND ASSOCIATION WHERE MEMBERSHIP WAS HELD, TYPE OF MEMBERSHIP HELD, AND APPROXIMATE DATES OF MEMBERSHIP.

2. STATE THE NAMES AND TITLES OF ALL OTHER PRINCIPALS, PARTNERS, OR CORPORATE OFFICERS OF YOUR FIRM.

Name _____ Title _____

Name _____ Title _____

3. IS THE OFFICE ADDRESS SPECIFIED IN SECTION I YOUR PRINCIPAL PLACE OF BUSINESS?
Yes _____ No _____

*IF "NO" PLEASE PROVIDE THE NAME AND ADDRESS OF YOUR PRINCIPAL PLACE OF BUSINESS:

4. LIST THE NAMES AND ADDRESSES OF ALL BRANCH OFFICES OR OTHER REAL ESTATE FIRMS IN WHICH YOU ARE A PRINCIPAL, PARTNER, OR CORPORATE OFFICER:

Name _____ Address _____

Name _____ Address _____

5. Are you or is any real estate firm in which you are a sole proprietor, general partner or corporate officer involved in any pending bankruptcy or insolvency proceeding or have you or any real estate firm in which you are a sole proprietor, general partner or corporate officer been adjudged bankrupt in the past three (3) years?
*Yes _____ No _____

*If "yes," specify the place(s) and date(s) of such action, and detail the circumstances relating thereto. (attach separate sheet)

NOTE: Applicant acknowledges that if the applicant or any real estate firm in which the applicant is sole proprietor, general partner, or corporate officer is involved in any pending bankruptcy or insolvency proceeding or has been adjudged bankrupt in the past three (3) years, the association may require, as a condition of membership, that the applicant pay cash in advance for association fees for up to one (1) year from the date that membership is approved or from the date that the applicant is discharged from bankruptcy (whichever is later) or, in the event that bankruptcy proceedings are initiated subsequent to obtaining membership in the association, that the member may be placed on a "cash basis" from the date that bankruptcy is initiated until one (1) year from the date that the member has been discharged from bankruptcy.

Initial: _____



SECTION III

HAVE YOU TAKEN AN INDOCTRINATION/ORIENTATION COURSE? YES _____ NO _____

If "yes," please provide the name of the Association: _____

YOU ARE AUTHORIZED TO REFER TO THE FOLLOWING MEMBERS OF TRISTATE WHO KNOW ME:

Name Office Phone

Name Office Phone

HOW MANY YEARS HAVE YOU BEEN ACTIVE AS A SALESPERSON? _____ **BROKER?** _____

IN WHAT PHASES OF REAL ESTATE DO YOU SPECIALIZE? _____

*Has your real estate license in this, or any other state, been suspended or revoked? _____

*If "yes," please specify the substance of each complaint in each state, and the current status or resolution of such complaint on an attached sheet.

Are there now any pending or unresolved complaints, or have there been within the past (three) 3 years, any complaints against you or the firm with which you have been associated, before any state real estate regulatory agency or any other agency of government? Yes _____ No _____

If "yes," specify the substance of each complaint in each state, the agency before which complaint was made, and the current status or resolution of such complaint on an attached sheet.

ARE YOU AFFILIATED WITH ANY OF THE FOLLOWING INSTITUTES, SOCIETIES OR COUNCILS OF THE NATIONAL ASSOCIATION OF REALTORS®?

Commercial Investment Real Estate Institute (CCIM) _____

Counselors of Real Estate (CRE) _____

The REALTORS® Land Institute (ALC) _____

Institute of Real Estate Management (IREM) _____

Society of Industrial and Office REALTORS® (SIOR) _____

LIST ANY PROFESSIONAL DESIGNATIONS THAT YOU HOLD _____

Initial: _____



I hereby apply for REALTOR® (Primary or Secondary) membership in the above named Association, and enclose my check in the amount of \$_____, which I understand will be returned to me in the event I am not accepted into membership. In the event my application is approved, I agree as a condition of membership to complete the **Indoctrination Course** of the above named Association, if any, and otherwise on my own initiative to thoroughly familiarize myself with the Code of Ethics of the National Association of REALTORS®, including the duty to arbitrate business disputes in accordance with the Code of Ethics and Arbitration Manual and the Constitution, Bylaws, and Rules and Regulations of the above named Association, the State Association and the National Association. I further agree that my act of paying dues shall evidence my initial and continuing commitment to abide by the aforementioned Code of Ethics, Constitution, Bylaws, and Rules and Regulations, and duty to arbitrate, all as from time-to-time amended. Finally, I consent that and authorize the Association through its Membership Committee or otherwise to invite and receive information and comment about me from any member or other person, and I agree that any information and comment furnished to the Association by any member or other person in response to any such invitation shall be conclusively deemed privileged and not form the basis of any action by me for slander, libel, or defamation of character.

NOTE: Applicant acknowledges that the association will maintain a membership file of information which may be shared with other boards/associations where applicant subsequently seeks membership. This file shall include: Previous applications for membership; all final findings of Code of Ethics violations and violations of other membership duties within the past three (3) years; pending complaints alleging violations of the Code of Ethics or alleging violations of other membership duties; incomplete or pending disciplinary measures; pending arbitration requests; and information related to unpaid arbitration awards or unpaid financial obligations to the association.

NOTE: Applicant acknowledges that if accepted as a Member and he/she subsequently resigns or is expelled from membership in the Association with an Ethics Complaint or Arbitration Request pending, the Association's Board of Directors may condition renewal of membership upon applicant's verification that he/she will submit to the pending ethics or arbitration proceeding and will abide by the Decision of the Hearing Panel; or if applicant resigns or is expelled from membership without having complied with an Award in arbitration, the Board of Directors may condition renewal of membership upon his/her payment of the Award, plus any costs that have previously been established as due and payable in relation thereto, providing that the Award and such costs have not, in the interim, been otherwise satisfied.

SECTION IV

I hereby certify that the foregoing information furnished by me is true and correct, and I agree that failure to provide complete and accurate information as requested, or any mis-statement or act, shall be grounds for revocation of my membership if granted, and I agree that, if accepted for membership in the Association, I shall pay the fees and dues as from time-to-time established.

Date: _____ Signed: _____
(Applicant's Usual Form of Signature)

CREDIT CARD FOR PAYMENT OPTION:

CIRCLE ONE: VISA MASTERCARD

16-DIGIT CARD NUMBER: _____

EXPIRATION DATE: _____ NAME ON CARD: _____

FULL CREDIT CARD STATEMENT ADDRESS: _____

AMOUNT: \$ _____ (AS SPECIFIED ON DUES RATE SHEET)



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